Grease ice in basin-scale sea-ice ocean models

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ABSTRACT. The first stage of sea-ice formation is often grease ice, a mixture of sea water and frazil ice crystals. Over time, grease ice typically congeals first to pancake ice floes and then to a solid sea-ice cover. Grease ice is commonly not explicitly simulated in basin-scale sea-ice ocean models, though it affects oceanic heat loss and ice growth and is expected to play a greater role in a more seasonally ice-covered Arctic Ocean. We present an approach to simulate the grease-ice layer with, as basic properties, the surface being at the freezing point, a frazil ice volume fraction of 25%, and a negligible change in the surface heat flux compared to open water. The latter governs grease-ice production, and a gradual transition to solid sea ice follows, with ~50% of the grease ice solidifying within 24 hours. The new parameterization delays lead closing by solid ice formation, enhances oceanic heat loss in fall and winter, and produces a grease-ice layer that is variable in space and time. Results indicate a 10–30% increase in mean winter Arctic Ocean heat loss compared to a standard simulation, with instant lead closing leading to significantly enhanced ice growth.

KEYWORDS: atmosphere/ice/ocean interactions, crystal growth, polar and subpolar oceans, sea ice, sea-ice growth and decay

1. INTRODUCTION

The present generation of basin-scale sea-ice ocean models incorporate a number of essential processes of the coupled polar air–ice–ocean systems. Dynamic–thermodynamic sea-ice components that simulate ice growth due to the atmosphere–ocean heat flux and ice transport and deformation in response to surface winds are standard in current global climate models. In general, simulation of the recently observed Arctic sea-ice extent loss has improved (Stroeve and others, 2012), whereas changes in sea-ice thickness are generally not captured well (Langehaug and others, 2013). Also, global climate models have difficulty simulating the overall positive trend in Antarctic sea-ice extent, which, however, features great regional variations (Parkinson and Cavalieri, 2012).

Because sea ice prevents turbulent heat exchange between the ocean and atmosphere, only allowing a much smaller conductive heat flux, ice growth is largest in open-water and thin-ice areas, and smaller in areas with thicker ice. Ice growth in open-water areas has up to now been simulated using simple assumptions that do not represent the complexity of ice growth processes as they occur in nature. In this paper, we therefore suggest a new parameterization for grease-ice formation in open water, and demonstrate its effect in simulations covering a climatologically normal Arctic winter.

Grease ice is a mixture of free-floating frazil ice crystals and sea water (Martin and Kauffman, 1981). When sea water is cooled below the freezing point and the surface layer is significantly turbulent, sea-ice crystals form within the water column and settle as frazil or grease ice at the ocean surface. Later in the freezing process, the grease ice congeals, and forms – in the presence of wind and waves – pancake ice. Pancake ice floes are small round pieces of solid sea ice, which often are still surrounded by a sluggish grease-ice layer (Wadhams and Wilkinson, 1999).

Observations of grease and pancake ice from Arctic and Antarctic waters are limited because formation takes place in locations that are logistically challenging to reach, and it is generally difficult to work during open-water freezing situations in polar waters. Observations from an Arctic polynya, which had a grease-ice layer thickness of up to 0.7 m (Smedsrud and Skogseth, 2006), added to the first few grease-ice observations of Martin and Kauffman (1981). Observations of pancake ice since Wadhams and Wilkinson (1999) exist from the Weddell Sea, Antarctica (Doble and others, 2003). Formation of grease and pancake ice is probably more prominent in Antarctica due to the large seasonal ice formation and the high wave activity of the Southern Ocean. Eicken and Lange (1989) found the volume fractions of granular ice (grease and pancake ice) and columnar ice to be about the same in the Weddell Sea, whereas the ratio is more like 1 : 4 in the Arctic (Eicken and others, 1995).

Observations of grease and frazil ice have been obtained from laboratory investigations (Daly and Colbeck, 1986; Martin and Kauffman, 1981; Smedsrud, 2001). These have, for instance, partly been used in polynya modelling (Biggs and others, 2000). We suggest using recent laboratory experiments (de la Rosa and others, 2011) as a guide to the transition from grease ice to pancake ice, i.e. solid sea ice.

Detailed numerical studies involving frazil ice dynamics also exist (Omstedt, 1985; Svensson and Omstedt, 1998; Kämpf and Backhaus, 1999; Smedsrud, 2002), using grid resolutions as fine as 1–10 m. Frazil ice models require that a size spectrum of frazil ice crystals is resolved, where small crystals grow faster than larger crystals. Detailed processes at the millimetre scale (e.g. collision between crystals, diffusion and differential rise of individual size classes) are, however, beyond the scope of basin-scale models. The same holds for the interaction with waves, although some coupled regional sea-ice models have started to resolve wave processes. The transition between regional and basin-scale models is fluent,
but when we use the term basin-scale model here, we think of a model with a horizontal resolution larger than ~10 km.

1.1. Grease-ice characteristics from observations

A parameterization of the grease-ice layer thickness and area needs to reflect available field measurements. Unfortunately, limited data are available so far (Martin and Kauffman, 1981; Smørsrud and Skogseth, 2006). Nevertheless, these data support basic grease-ice characteristics that can be incorporated into any sea-ice model. The transition from grease ice to solid ice can further be based on laboratory experiments (de la Rosa and others, 2011), and earlier process models of pancake ice formation (Doble, 2009).

In the natural environment, individual frazil crystals grow, and are mixed downward by local turbulence until their buoyancy becomes stronger than the downward diffusion. A grease-ice layer forms, which damps the local turbulence and surface waves, and gradually starts to congeal from the top downwards.

The lower bound on observations of Arctic grease-ice bulk salinity is 21.5% (Smørsrud and Skogseth, 2006). The salinity range is limited by this minimum and that of the original sea water. This implies a bulk grease-ice density of approximately 0.917 g cm$^{-3}$. Varying densities for ocean water and sea ice used in individual sea-ice ocean models may lead to small differences in bulk grease-ice density.

The mean frazil volume concentration of the grease ice found around Svalbard was 25.3% (Smørsrud and Skogseth, 2006). This is within the range of earlier values from laboratory experiments. The range of 14–29% is consistent with the values in Martin and Kauffman (1981) when a correction for the sea-water content of the grease ice is made as noted by Smørsrud and Skogseth (2006). It also agrees with the 22% mentioned by Wongpan and others (2015). We suggest applying a constant frazil ice concentration of 25% as a reasonable approximation for regional to basin-scale ocean models. This implies that the grease ice consists of a major portion of sea water, and a smaller portion of frazil ice crystals, which do not include any salt. The calculated range of frazil ice concentration in the grease-ice layer ranges from 16% to 32% (Smørsrud and Skogseth, 2006). This volume concentration may vary in time depending on, among other things, heat exchange, wave motion and grease-ice age.

The mean frazil volume concentration of the grease ice is somewhat time-step dependent if interpreted as the initial fraction of grease ice at time $t = 0$. Hibler (1979) argued that loss of open water should depend on the formation rate $f(0)$ (m$^{-1}$ d$^{-1}$) of new ice in open water, and defined a critical ice thickness $h_0 = 0.5$ m (also known as lead-closing parameter) to distinguish between thin and thick sea ice, with the thin-ice area counting as open water. This definition produces a time constant, $T_0 = h_0/f(0)$ (days), that controls the exponential decay of the area of open water $a_0$ over time:

$$\frac{\partial a_0}{\partial t} = -a_0 \frac{f(0)}{h_0} = -\frac{a_0}{T_0}$$

leading to

$$a_0(t + \Delta t) = a_0(t) e^{-\frac{\Delta t}{T_0}}.$$  

Here $a_0(t)$ is the area of open water present at time $t$. Hibler (1979) used seasonal growth rate estimates, with $f(0) = 0.1$ m d$^{-1}$ for winter conditions, yielding $T_0 \sim 5$ days.

Rapid open-water ice growth can, under natural conditions, only take place through frazil ice formation, producing a grease-ice layer of a certain volume. In a model, the difficulty arises how this newly formed ice volume should be distributed between growth in ice thickness and growth in area. In Hibler (1979) the frazil ice volume is transferred from the open-water (or thin-ice) category to the thick-ice category whenever the critical ice thickness $h_0 = 0.5$ m is exceeded. In practice, most models apply an ice thickness of $h_0$ to compute the ice area covered by newly formed ice from the frazil ice volume. In a different approach, Mellor and Kantha (1989) used a tuning parameter, $\Phi_T = 4$, to partition the ice growth in open water between increases in sea-ice thickness and area. However, considering the enormous changes Arctic sea ice is currently undergoing (e.g. Comiso, 2012; Jeffries and others, 2013), the validity of constant parameters derived decades ago may be questioned.

Hibler (1979) used a model time step of 1 day, but the spatial resolution of sea-ice ocean models has increased in recent years, necessitating a decrease in the time step. As $h_0$ is somewhat time-step dependent if interpreted as the initial thickness of newly formed ice, smaller values are common
nowadays (e.g. \( h_0 = 0.05 \) m is used in the CICE model (Hunke and Lipscomb, 2010)). In this case an open-water ice growth rate of \( 0.1 \text{ m d}^{-1} \) yields a time constant \( T_0 \) of \( \sim 0.5 \) days with the open-water area decaying in a similar way.

Most advanced in respect of grease ice is the current Louvain-la-Neuve Sea Ice Model Version 3 (LIM3) (Vancoppenolle and others, 2009), which includes the assumption that new ice formed in open water has a variable thickness \( h_0 \) ranging between 0.05 and 0.15 m. Their \( h_0 \) depends nonlinearly on wind speed, ice velocity and pack-ice thickness, and is based on a theoretical polynya model (Biggs and others, 2000) validated with the laboratory experiments of Martin and Kauffman (1981). This approach resembles high-resolution polynya models that use a frazil collection thickness, which is the maximum thickness of the frazil layer at the leeward edge of the polynya (Drucker and others, 2003).

Here we propose a grease-ice parameterization that not only incorporates a wind- and current-speed-dependent layer thickness but moreover features the grease-ice layer volume as a prognostic variable. The approach for the layer thickness presented in Section 2 builds upon a formulation by Smedsrud (2011) and is based on field observations. In Section 3 we present test results from implementations in a one-dimensional (1-D) column model and a three-dimensional (3-D) coupled sea-ice–ocean model. We then summarize our conclusions.

### 2. A NEW PARAMETERIZATION FOR GREASE ICE

The explicit description of a grease-ice layer in a basin-scale numerical model can focus on the grease-ice volume \( V_G \) or mass \( V_G \rho_G \), as the only prognostic variable. The layer thickness \( h_G \) is highly variable and strongly depends on the surface wind stress, as discussed below. The grease-ice area, or area fraction if \( V_G \) represents volume per gridcell area, is determined from the volume divided by the actual layer thickness \( a_G = V_G / h_G \). As stated above, the grease-ice density is determined by ocean and frazil ice densities. We assume that the grease-ice mass drifts with the surrounding solid sea-ice mass as a continuum, which has velocity \( \bar{U}_G \). Here the only source of grease ice is new ice that formed in open water, and its sinks are solidification, melt, and complete compaction of the surrounding pack ice:

\[
\frac{\partial V_G}{\partial t} + \nabla \cdot \left( V_G \bar{U}_G \right) = G_{i,ow} - V_G \left( 1 - e^{-\frac{t}{1}} \right) + \frac{V_G}{V_i} M_i
\]

where \( G_{i,ow} > 0 \) is sea-ice growth rate in open water due to a heat flux from the ocean to the atmosphere, which would supercool the ocean. The second term on the right-hand side is the sink of grease ice by solidification, which is prescribed by a timescale \( T_r \), and is discussed in more detail below. The last term describes the melt of grease ice proportional to the melt of solid sea-ice volume \( V_i \), with \( M_i < 0 \) the total melt rate of solid sea ice. Additionally, completely closing of all open water in a gridcell due to convergent motion of the solid sea ice is assumed to cause all grease ice in the respective area to solidify instantly.

The impact of grease ice on ocean surface processes is much smaller than that of a solid sea-ice cover. Damping of surface waves and an increase in albedo are the major effects. In contrast to a solid sea-ice cover, the reduction of heat and mass exchange between ocean and atmosphere by a grease-ice layer is negligibly small. In essence, for the

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**Fig. 1.** Relevant processes for the grease-ice parameterization. The sketch represents a gridcell in a basin-scale model where two solid ice thicknesses (\( h_1 \) and \( h_2 \)) are initially present at \( t = 0 \) hours. The ice then experiences divergence/export until \( t = 1 \) hour, and does not move afterwards. Heat fluxes are present all the time but are only indicated for \( t = 1 \) hour and \( t = 2 \) hours. A salt flux occurs between \( t = 2 \) hours and \( t = 26 \) hours. With respect to the heat flux, grease ice contributes to the open-water area fraction \( a_G \). Pancake ice is modelled as new solid sea ice. Pancake thickness (\( h_3' \)) should thus be merged with \( h_1 \) after the growth sequence (\( t = 27 \) hours), and new pancake area (\( a'_p \)) contributes to the solid ice area \( a_{tot} = a'_p + a_1 + a_2 \).

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### 2.1. Proposed grease-ice growth sequence

A few basic assumptions are made to develop a useful parameterization for grease ice. Figure 1 shows a sketch of the growth sequence in a single gridcell. In this case we assume that solid ice of two different thicknesses \( h_1 \) and \( h_2 \) is already present. The ice then experiences divergence/export until \( t = 1 \) hour, and does not move afterwards. Heat fluxes are present all the time but are only indicated for \( t = 1 \) hour and \( t = 2 \) hours. A salt flux occurs between \( t = 2 \) hours and \( t = 26 \) hours. With respect to the heat flux, grease ice contributes to the open-water area fraction \( a_G \). Pancake ice is modelled as new solid sea ice. Pancake thickness (\( h_3' \)) should thus be merged with \( h_1 \) after the growth sequence (\( t = 27 \) hours), and new pancake area (\( a'_p \)) contributes to the solid ice area \( a_{tot} = a'_p + a_1 + a_2 \).

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(t = 2 hours; Fig. 1). The grease-ice layer now covers an area fraction $a_g$ with $a_g(t = 2$ hours) = $a_0(t = 1$ hour) - $a_g$. In the case of further heat loss from the ocean, i.e. continuous open-water fraction will persist longer, whereas the grease ice will spread out and create a thinner layer in calm conditions. Together, $V_g$ and $h_g$ determine the present grease-ice area $a_g = V_g/h_g$, which cannot exceed the available open-water area $a_0$.

2.3. Grease-ice melt
The frazil ice crystals in the grease-ice layer have a large area relative to their volume, so grease ice melts very effectively. No grease ice is therefore observed during summer. In spring, all energy in the ocean available for ice melting in a gridcell should therefore first be applied to the grease-ice volume $V_g$ before bottom melt of solid sea ice sets in. In case of coarser grid resolution, i.e. with gridcell dimensions of several tenths of km, the continuum may represent a much more heterogeneous ice and heat content distribution, so a proportional splitting between grease and solid ice melt may be applied as shown in Eqn (3).

2.4. Grease-ice temperature and heat flux
We are not aware of any field measurements of heat flux from a grease-ice covered ocean. Our choices in the model set-up are therefore based on a few results from a laboratory experiment (de la Rosa and others, 2011). The 24 hour long experiment showed that grease-ice surface temperature remains close to the freezing point ($T_f$) of the surface ocean. This can be approximated using the surface salinity and a constant in the freezing equation:

$$T_g = T_f(S_w) \sim -0.0543S_w.$$  

This is an example of the simplest possible linearization of the freezing point relation often used in sea-ice models. De la Rosa and others (2011) measured a grease-ice temperature of 0.4°C below the in situ freezing point derived from the salinity at 0.5 m depth. Only after pancake formation started did the surface temperatures drop >1.0°C below the freezing point. The experiment also indicated that the heat flux remains fairly constant through the transition from open water to frazil, and onwards to pancake ice. The results of de la Rosa and others (2011) support the notion that the surface temperature as well as the heat flux over grease ice remains the same as for open water, although grease ice has the potential to damp surface ocean turbulence. The heat loss from the ocean is only significantly reduced once a solid ice cover forms. Thus, we consider the surface temperature of open water, $T_w$, and that of grease ice, $T_g$, to be the same. Consequently, the heat flux from the grease ice and from the open water are also equal, and $F_g = F_0$ (Fig. 1).

2.5. Grease-ice solidification
As grease ice solidifies, the frazil ice accumulates at the sea surface, separating from the sea water contained in the grease-ice layer. The newly formed solid ice layer is thus much thinner than the grease-ice layer, which consists of three-quarters sea water. However, some of the sea water between the crystals will be incorporated into the solid ice as brine pockets. In nature this brine loss is high initially and slows down over time, but for this parameterization we assume an instant salt drainage, i.e. a salinity adjustment within one time step. Thus, the thickness of the newly formed solid sea ice is not simply a quarter of the grease-ice layer thickness $h_g$, but depends on the bulk salinity of sea ice $S_i > 0$ and the sea surface salinity $S_w$. We calculate the initial thickness $h_g^0$ of solid ice newly formed in open water.

The timescale of grease-ice solidification $T_s$ is similar to that of open-water reduction $T_0$ in Eqn (2). Observations from the field (Doble, 2009) suggest an ‘age threshold’ of 24 hours before the transformation from grease to pancakes starts. More detailed observations from laboratory experiments suggest a 50% loss of grease-ice area to solid pancake ice within 24 hours, once the transformation has started (de la Rosa and others, 2011). Hence, we suggest using $T_s = 1.44$ days in Eqn (4). A sensitivity study of this value is performed in Section 3.3.5.

For models with multiple ice thickness categories the solidified grease-ice volume could either be tracked in a separate pancake ice category or merged with the thinnest solid sea-ice category so that the area-weighted sum of $h_1$ and $h_g^0$ yields a new ice volume $V_i$ (Fig. 1).

2.2. Grease-ice thickness
Observations suggest a nonlinear profile for the grease-ice distribution in a lead with increasing thickness toward its lee side. This means that areal extent and mean thickness of the grease-ice layer are both linked by the area, or cross-sectional volume, of the profile. We follow Smedsrud (2011) and compute the mean grease-ice layer thickness $h_g$ as a function of grease-ice volume and surface stresses ($v$ stress $\tau_w$ and stress from currents $\tau_w$), which push the grease ice toward the lee side of the lead:

$$h_g = \left(\frac{4}{9} \frac{V_g}{L_s} \frac{\tau_w + \tau_w}{\tau_w} \right)^{1/3}.$$  

Here $V_g$ is the grease-ice volume per gridcell area (m$^2$ m$^{-2}$) and $L$ the dimension of the gridcell. We suggest computing $L$ as the geometric mean, i.e. the square root of the gridcell area. Note that Smedsrud (2011, his eqn (10)) uses grease-ice as the geometric mean, i.e. the square root of the gridcell area.

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The new grease-ice layer thickness is given by:

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and leads as 

\[ h_0' = \frac{1}{4} \left( \frac{S_i}{S_w} \frac{\rho_i + 3\rho_w}{\rho_w} \right) R \]

For example, a grease-ice layer of 1 m thickness forming from sea water of salinity \( S_w = 34.5 \) yields a solid sea-ice thickness \( h_0' = 0.39 \) m for a solid ice salinity \( S_i = 5 \), and 0.53 m for a bulk salinity of 10 (with \( \rho_i = 917 \text{ kg m}^{-3} \) and \( \rho_w = 1027 \text{ kg m}^{-3} \)). Most basin-scale sea-ice models prescribe either a constant bulk salinity (commonly ~5) or a constant \( S_i/S_w \) ratio.

### 3. IMPLEMENTATION AND TESTING

#### 3.1. A note on simplified realizations

For some modelling purposes it might be desirable to keep computational costs as low as possible, which in the most extreme case would not allow for an explicitly simulated and advected grease-ice category. In this case we suggest carefully adjusting the sequence in which frazil ice solidifies in the model and calculating the heat exchange with the ocean in order to ensure that, to first order, grease enables the same heat flux as open water.

A further step would be to store frazil ice locally (either as ice volume or equivalent energy), neglect advection, but account for the characteristic solidification timescale of 24 hours (see Eqn (4)). This would be close to how open-water ice growth is handled today, with the difference that the solid ice forms after a delay instead of instantaneously. Nevertheless, the thickness of the new solid ice, and the area it covers, should depend on surface stresses exerted by winds and currents. All the grease that forms in a certain gridcell would in this case turn solid in that gridcell. This ‘non-advective’ approach is only suitable for models with relatively coarse grid resolutions of the order of 5 km or more because grease-ice advection within 24 hours is of the order of 10 km. However, for present-day high-resolution models (grid dimensions of 1–10 km) this is not the case because grease ice persists for up to 2 days, i.e. several model time steps. Moreover, differential sea-ice motion, including drift of grease within larger leads, or closing of leads by larger-scale convergence, complicates the situation. Thus, we do not recommend using such a non-advective approach to account for grease ice in 3-D models.

#### 3.2. Implementation in a 1-D sea-ice model

In order to test the main effect of the grease-ice scheme described above we use the 1-D column sea-ice model 1DICE of Björk and Söderkvist (2002). 1DICE represents the Arctic sea-ice growth and decay over an average season and is forced by monthly mean observations, following the approach of Overland and Turet (1994). Moreover, 1DICE can be used in an uncoupled version with atmospheric forcing or a coupled mode (Söderkvist and Björk, 2004). We aim at quantifying the effect of an additional grease-ice category on sea-ice thickness and heat fluxes between the ocean and atmosphere. We apply a relaxation towards the same heat flux as open water.

#### 3.3. Tests with 1DICE

To illustrate the importance of the added grease-ice parameterization an idealized sea-ice cover is initialized with a mean thickness of 0.7 m. Two initial ice categories cover most of the gridcell area: thin ice \( (h_1 = 0.5 \text{ m} ) \) and thick ice \( (h_2 = 1.0 \text{ m} ) \) as illustrated in Figure 1. The model experiment starts on 1 September because at this time of year the net open-ocean to atmosphere heat flux changes from downward (heat gained by ocean) to upward (heat lost to atmosphere).

A constant ice export is specified \( (28.9 \times 10^6 \text{ km}^2 \cdot \text{year}^{-1}) \) meaning an export of 10% of the total sea-ice area per year. This is similar to the average annual Arctic Ocean ice export of \( \sim 920 \times 10^6 \text{ km}^2 \), being close to 10% of the Arctic Ocean area of \( \sim 9 \times 10^6 \text{ km}^2 \) (Kwok and others, 2004). Results are mostly discussed for the first 60 days, until 31 October.

#### 3.3.1. No grease

In this run, open water quickly freezes over, but open water also forms constantly anew due to the ice export in each time step. The rate of new ice formation is large, so the fraction of open water remains small and constant throughout the experiment (Table 1; Fig. 2). The growth rate of ice in open water increases almost linearly from zero to \( h_0 = 0.17 \text{ m day}^{-1} \) until 31 October.

<table>
<thead>
<tr>
<th>Run</th>
<th>Heat flux</th>
<th>( h_1 )</th>
<th>( h_2 )</th>
<th>( a_0 + a_g )</th>
<th>Winter heat flux</th>
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<td>0.03</td>
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<tr>
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<td>2.08</td>
<td>0.09</td>
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<td>25% pancakes</td>
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<td>0.79</td>
<td>3.23</td>
<td>0.14</td>
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<td>75% pancakes</td>
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<td>2.08</td>
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<td>19.65</td>
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<tr>
<td>Polynya</td>
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<td>2.70</td>
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</tbody>
</table>
The open-water ice growth results directly in a new solid ice class $h'_3$. This new ice class initially does not merge with the thicker ice classes, but becomes the new $h_1$ ice class. Thicker ice transfers to $h_2$ and $h_1$. After 2 months, $h_1$ reaches 0.32 m, resulting from the accumulated solid ice growth over this time as described in Björk and Söderkvist (2002). The average heat loss to the atmosphere is 16 $\text{W m}^{-2}$ over the 60 days, increasing from 14 $\text{W m}^{-2}$ to 18 $\text{W m}^{-2}$ at the end of October (Table 1). At this stage there is no solar radiation, and heat fluxes from open-water areas exceed 400 $\text{W m}^{-2}$. Over open water the sensible heat flux, the longwave radiation and latent heat fluxes are all significant and contribute with $>100\text{W m}^{-2}$ each. The mean ice thickness has grown to 0.79 m (Table 1) over these 2 months, and 150,000 km$^2$ of ice were exported.

### 3.3.2. Standard grease ice

We now include the grease-ice parameterization with the same set-up and forcing as the ‘no grease’ run. This basically increases $a_0 + a_3$ compared to the $a_0$ of the ‘no grease’ result as shown in Figure 2. Ocean to atmosphere heat fluxes increase accordingly (Table 1). The area $a_0 + a_3$ decreases gradually over 30 days from the initial 2% to a steady level three times larger than without grease ice (Fig. 2).

Figure 3 shows that the total ocean to atmosphere heat flux increases by $\sim 0.5\text{W m}^{-2}$ as a result of the added grease-ice parameterization. The difference between ‘no grease’ and ‘standard grease’ is mainly the open-water heat flux, reaching a maximum of 1.5 $\text{W m}^{-2}$ after $\sim 8$ days (Fig. 3). There is no change in the open-water to air flux per area of open water, but as the open-water area increases, the total flux increases, too. The heat flux from open water remains higher than in the ‘no grease’ run throughout the 60 days, but, after $\sim 30$ days, compensating changes in the heat flux through the solid ice classes are of the same magnitude. Note that for the new thinnest solid ice class, the heat flux ($F_1$) is smaller for the ‘standard grease ice’ than for ‘no grease’, because there is a smaller area of this thin solid ice. The mean solid ice thickness is also thinner for ‘standard grease’. The excess heat flux has thus not produced solid ice, but grease ice. The grease-ice layer starts out at $h_3 \sim 1.0\text{m}$, and grows another metre over the next 2 months. When solid ice forms from the grease ice it is therefore 0.25–0.5 m thick.

The difference in heat flux caused by the grease-ice parameterization may seem small, but represents an average over the Arctic Ocean. Integrating the 0.5 $\text{W m}^{-2}$ over the Arctic Ocean total area of $\sim 9 \times 10^6 \text{km}^2$ and 6 months produces an additional net heat loss of $79 \times 10^{12}\text{J}$. This heat compares to freezing, or melting, a 1 m thick ice cover of $\sim 334,000\text{km}^2$, comparable to 5 months of Fram Strait ice area export (Kwok and others, 2004).

### 3.3.3. Polynyas and grease ice

The effect of the new parameterization increases with the rate of ice export, or divergence, of the ice cover. A typical polynya has an ice divergence rate several times larger than the Arctic Ocean mean. The Arctic mean divergence rate is $\sim 4 \times 10^{-9}\text{ s}^{-1}$ (Kwok and others, 2004). For comparison, assuming a square polynya with sides of 10 km, making the area $100 \times 10^4\text{m}^2$, and a speed of 0.01 m s$^{-1}$ perpendicular to one side would create an ice area export of 100 m$^2$ each second, and a divergence of $\sim 1000 \times 10^{-9}\text{ s}^{-1}$. We apply a polynya divergence of $200 \times 10^{-9}\text{ s}^{-1}$ here. The winter heat loss over open water in a polynya is 100–500 $\text{W m}^{-2}$ (Morales Maqueda and others, 2004), which is an order of magnitude larger than the average value for the Arctic Ocean mostly covered by solid sea ice (Serreze and others, 2007). The larger heat fluxes, caused by the larger divergence, are listed for the ‘no grease polynya’ run in Table 1.

The grease-ice parameterization changes a polynya situation as illustrated by the ‘polynya’ run included in Table 1. Heat loss to the atmosphere is increased by typically $\sim 8\text{W m}^{-2}$ during the first month, and the open-water
fraction $a_0 + a_g$ increases. Without the grease ice included, the open-water area quickly stabilized at 0.87%. With grease ice a maximum open-water area of 7% is reached after 13 days, relaxing to a steady level of 2.7% around ~60 days. Because the atmospheric forcing and other parameters are the same, the mean grease-ice thickness $h_g$ is the same for the ‘polynya’ and ‘standard grease’ runs. However, the grease ice covers a much larger area and has a greater volume $V_g$.

3.3.4. Arctic applications

Fully coupled 1DICE runs have also been performed to study the impacts of the grease-ice parameterization more realistically. Boundary conditions and forcing applied in all ‘Arctic’ runs are as described earlier (Björk and Söderkvist, 2002). The overall effect of adding the grease-ice parameterization is similar to the more simplified runs of the ‘standard grease’ mentioned above, but a number of new interesting effects appear.

The ‘no-grease Arctic’ run is the same as the ‘base’ run described in detail in Smedsrud and others (2008), initiated with a 3.2 m mean ice thickness, consisting of 41 different ice classes. The September through January mean heat flux is 13.5 W m$^{-2}$. With the grease-ice parameterization added this value increases by ~0.5 W m$^{-2}$. The temporal evolution of the heat fluxes is shown in Figure 4 beginning 1 September.

The increased heat loss is caused by a larger grease-ice and open-water area fraction of $a_0 + a_g$ $\sim$ 0.45% during the winter months. This is a significant increase of the open-water fraction compared to the ‘no-grease Arctic’ run, where $a_0 \sim 0.15\%$ (Table 1). Most of the heat loss occurs as longwave radiation with, and without, the added grease ice. This explains why the response is similar in the fully coupled atmosphere and uncoupled atmosphere set-up of the model.

The summer sea-ice cover of the Arctic Ocean is experiencing a steady decline (Stroeve and others, 2012). The open-water area fraction has thus increased, so we have included two ‘open Arctic’ runs. These runs illustrate the effect of differences in initial solid ice concentrations on the grease-ice parameterization. The ‘open Arctic’ runs have five solid ice categories: ($h_1 = 0.5$ m, $a_1 = 0.3$), ($h_2 = 1.0$ m, $a_2 = 0.3$), ($h_3 = 2.0$ m, $a_3 = 0.2$), ($h_4 = 3.0$ m, $a_4 = 0.1$) and ($h_5 = 4.0$ m, $a_5 = 0.05$).

The ‘open Arctic’ set-up results in an area-weighted mean ice thickness of 1.3 m, and 5% open water. Most of the extra open water freezes over during September, but the ‘no-grease open Arctic’ run has higher heat fluxes to the atmosphere throughout the winter than the ‘no-grease Arctic’ run (Fig. 4; Table 1). The additional heat loss occurs as a combined effect of the larger area of open water, and the thinner ice cover that ridges more effectively. The effect of the grease-ice parameterization for the ‘open Arctic’ is a further increase of the open-water area and accordingly also heat fluxes. At the end of October the heat flux is ~60% larger in the case with grease ice. The difference remains until January as shown in Figure 4, and the mean heat flux has increased by ~20% (Table 1).

3.3.5. Sensitivity of solidification in 1DICE

The grease-ice parameterization we present here is based on some parameters that have limited observational field support, especially the timescale for pancake ice formation. We used a solidification from grease to pancake ice of 50% within 24 hours, or $T_s = 1.44$ days. The fraction of open water and grease $(a_0 + a_g)$ is lost by the growth of pancake ice (Eqn (4)), and thus depends on the exponential decay (Hibler, 1979). A different time constant leads to changes in heat flux and other quantities.

At day 60 (31 October) the ‘standard grease’ run has an open-ocean area of 0.09% using $T_s = 1.44$ days (Table 1). Using a longer time constant, $T_s = 3.5$ days, in Eqn (4) corresponds to losing 25% of the grease to pancake ice in a day. This $T_s$ is similar to the value used by Hibler (1979). Using this $T_s$, the ‘25% pancakes’ run, results in an open-water area fraction of 0.14% (Table 1).

In a similar way the open-water area fraction decreases faster in the ‘75% pancakes’ ($T_s = 0.72$ days) run, and the difference lasts until day 15 (Fig. 2). There are also related differences in heat fluxes between the ‘25% pancakes’ and ‘75% pancakes’ runs (Table 1). The differences are smaller than ±1% change in heat flux, but are still on the same order as the overall change of implementing the grease parameterization. The grease ice grows much thicker in the ‘25% pancakes’ run though, because it is permitted to grow for a longer time before it solidifies. Overall the results are not overly sensitive to the choices made, but improving this solidification process should be the focus of future work.

3.4. Implementation in a 3-D coupled sea-ice ocean model

In order to test the applicability of our new parameterization for common 3-D sea-ice models we run the Massachusetts Institute of Technology’s coupled sea-ice ocean general circulation model (MITgcm) as described in Losch and others (2010) with settings similar to their control simulation C-LSR-ns. That is, sea-ice dynamics follow Hibler (1979), and thermodynamics the so-called zero-layer model of Semtner (1976) is used with surface heat flux calculations as in Parkinson and Washington (1979) and Manabe and others (1979). For sea-ice dynamics, only two categories are considered, open water and ice, though for thermodynamic calculations a uniform ice thickness distribution over seven categories is used, which depends on the mean ice thickness. This version of the MITgcm employs the

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**Fig. 4.** Heat fluxes from ocean and ice to the atmosphere from fully coupled 1DICE model runs. The solid (green) line shows the result of the Arctic run with no grease-ice parameterization. The dash-dotted (blue) line shows the effect of including grease ice. The heat loss is larger in the ‘open Arctic’ runs with larger initial open-water areas.
lead-closing parameter $h_0$ of Hibler (1979) with a default value of 0.5 m. We apply the model to a regional grid of the Arctic Ocean and surrounding seas north of 55°N with a horizontal resolution of ~36 km. Atmospheric boundary conditions are prescribed and taken from the JRA25 reanalysis dataset (Onogi and others, 2007).

Grease ice is implemented using the tracer module of the MITgcm sea-ice component. However, grease ice is not a passive tracer but interferes with the thermodynamic calculations. The tracer package is simply a convenient way to advect the grease-ice volume and to account for grease-ice melt, which is assumed to be proportional to solid sea-ice decay as in Eqn (3). In the sequence of thermodynamic processes in each time step, the new frazil ice volume formed due to heat loss over open water is added to the grease-ice tracer volume instead of the solid ice volume. The transition of grease ice to solid ice incorporates the delay described in Eqn (4). Lateral growth of solid ice is computed using the grease-ice layer thickness from Eqn (5) to derive a variable initial solid ice thickness following Eqn (7), which simply replaces Hibler’s constant $h_0$.

### 3.5. Tests with the MITgcm

We present results from four simulations with the MITgcm. The first is a reference run applying the default setting with a lead-closing parameter $h_0 = 0.5$ m as originally suggested by Hibler (1979) (hereafter referred to as REF50), which is a comparatively high value and can be viewed as an upper bound. More common in state-of-the-art sea-ice models are values between 0.05 and 0.15 m. We run an additional experiment REF10 with $h_0 = 0.1$ m and a third one (REF01) mimicking (almost) instant lead refreezing by choosing $h_0 = 0.01$ m setting a lower bound. Finally, the new grease-ice parameterization is used in a fourth experiment named GRS. All four simulations begin on 1 January 1979 without any initial sea-ice cover. Ocean temperature and salinity are initialized using the World Ocean Circulation Experiment Global Hydrographic Climatology (WGHC) (Gouretski and Koltermann, 2004). The simulated sea-ice cover is in equilibrium after ~10 years. In the following we discuss results averaged from 1990 to 1999.

Figure 5a shows the annual cycle of Northern Hemisphere (NH) total sea-ice volume for each simulation, with the typical maximum in April and minimum in September. As expected, REF50 yields the greatest total sea-ice volume. The ice volume of REF01 is 17% less, corresponding to a difference of 2.5–5.1 x 10^13 km^3 in monthly mean total ice volume between these two reference runs. The total solid sea-ice volume of GRS ranges in between, exceeding that of REF10 by 5%. The annual cycle of the GRS run is in good agreement with estimates from the Pan-Arctic Ice Ocean Modeling and Assimilation System (PIOMAS) (Schweiger and others, 2011) (fig. 2 at http://psc.apl.uw.edu/research/projects/arctic-sea-ice-volume-anomaly/), which are often used as a reference. The NH total grease-ice volume has a pronounced annual cycle as well (dashed bold black line in Fig. 5a) but with a maximum of 40 km^3 in December and a minimum in July, when it melts completely. While the total solid sea-ice volume shrinks further due to continued melt in the marginal ice zone (MIZ) of the Arctic marginal seas through August and into September, new grease ice begins to form within the pack ice of the high-latitude central Arctic Ocean, where a net heat loss already dominates. At its peak the total grease-ice volume is just 0.2% of the solid sea-ice volume and thus is negligible in terms of its contribution to NH ice mass.

The overall NH mean thickness of the grease-ice layer varies between 0.09 and 0.32 m (Fig. 5b, bold line). Particularly in midwinter the layer thickness can exceed 1.10 m in some locations for short instances, as indicated by the dashed line of ±2 standard deviations. In general, loose-ice conditions favour a thick grease-ice layer, because, on the one hand, the continuous presence of open water due to prevailing divergent ice motion stimulates frazil ice formation and, on the other hand, grease ice can be quickly compacted into solid ice dynamically during events of strong convergence in the pack ice. At the end of summer, such loose-ice conditions prevail in large parts of the Arctic Ocean and monthly mean grease-ice layer thicknesses of 0.30–0.40 m are dominant (Fig. 5c). We present 31 day averages from mid-August to mid-September because the timing of peak early-fall grease-ice formation does not match exact months, as can be seen by the peak in mean grease-ice layer thickness at the end of August (Fig. 5b). These averages also exclude dates without any grease ice present. We find that grease-ice growth, peaking in late August, dips in September, when the interior Arctic solid pack ice becomes compact and significant amounts of frazil ice are then only formed in the MIZ, which migrates south during fall. In winter, the Arctic Ocean features a grease-ice layer of only 0.20 m and less on average (Fig. 5d). In this season, the thickest grease-ice layers are found in the Labrador, Greenland and Barents seas, where thicknesses reach 1.20 m and more in some instances. The simulated range of grease-ice layer thickness is in good agreement with observational data presented in Smedsrud (2011).

A greater $h_0$ is associated with thicker but less extensive newly formed solid ice, i.e. slower lead refreezing and extended presence of open water. Accordingly, we find that the heat loss from open water leading to new ice formation is greater in REF10 than in REF01 by up to 5 and 10 W m^-2 in late summer and winter, respectively. The signature of a generally reduced sea-ice compactness due to a greater $h_0$ is clearly seen in enhanced heat loss all over the Arctic Ocean at the end of summer (Fig. 6a), whereas in winter the effect is limited to the MIZ (Fig. 6d). In fact, the oceanic heat loss over leads within the pack ice of the interior Arctic Ocean is near zero in REF01 (not shown). A solid ice cover prevents turbulent heat exchange between ocean and atmosphere, and the conductive heat flux through solid ice is one to two orders of magnitude smaller than this exchange. Therefore, REF10 (and REF50) has a greater total sea-ice volume despite the compensating effect of enhanced bottom ice growth due to generally thinner ice in REF01 (Fig. 5a).

In GRS grease-ice layer thickness is relatively small in the Arctic Ocean throughout the year, with values <0.40 m. We suspect low wind speeds in summer and small grease-ice volume within the winter pack ice to be the reason. Although GRS features enhanced heat loss over open water in the interior Arctic in late summer compared to REF01 (Fig. 6b), it is limited to the eastern sector and +3.5 W m^-2. This is significantly less than the increase in heat loss provoked by increasing $h_0$ to 0.10 m (cf. Fig. 6a and c). This shows that a constant parameter such as $h_0$ is rather powerful, as even a small frazil ice volume at very low winds is prescribed to form thick solid ice. In contrast, the grease-ice parameterization needs persistent strong winds to form thick solid ice: first to form a larger amount of frazil ice that accumulates in
a grease-ice layer and then, 1–2 days later, to compact the grease ice to a thick layer. Otherwise, the grease-ice parameterization is likely to produce rather thin new solid ice similar to REF01.

During winter the simulated grease-ice layer thickness exceeds 0.20 m only in the MIZ. There, however, average layer thicknesses of >1.00 m are forced by strong winds, particularly in the Labrador and Nordic Seas. Note the simulated grease-ice layer thickness corresponds to about three times the thickness of solid ice formed from this grease ice (Eqn (7)). Thus, differences between GRS and both REF01 and REF10 are tiny in the interior Arctic in winter, but GRS features greater open-water heat loss in the MIZ of the Atlantic side than any other experiment (Fig. 6e and f). Interestingly, GRS simulates a smaller grease-ice layer thickness on the Pacific than the Atlantic side, resulting in a smaller heat loss than in REF10 (but not REF01). Simulating such regional differences is an advantage of the new grease-ice parameterization.

Finally, our results indicate that assuming a mean thickness of \( h_0 = 0.5 \) m for newly formed solid sea ice in leads would be an overestimation. Based on our simulations we suggest that a value of 0.05–0.15 m is much more appropriate for the interior Arctic. However, unlike our new grease-ice parameterization, a constant \( h_0 \), despite yielding a reasonable total ice volume, does not take into account regionally varying sea-ice cover characteristics and changing wind forcing.

4. CONCLUSIONS

A new parameterization of grease ice for general circulation models with a sea-ice component has been presented and tested. Our new parameterization represents the major characteristics of grease ice: (1) a non-instantaneous transition from frazil to solid sea ice; (2) a delayed refreezing of leads within the pack ice that enables greater oceanic heat loss; and (3) an initial thickness of solid sea ice that depends on surface stress and grease-ice volume. Note that our parameterization only simulates the mean grease-ice properties over several square kilometers and hence cannot resolve the high spatial variability of observed grease-ice layer thickness (Smedsrud and Skogseth, 2006). Observations that are incorporated into our parameterizations are instant frazil ice growth governed by surface heat loss, a ~25% volume fraction of frazil in grease ice, a grease-ice

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**Fig. 5.** (a) Annual cycles of simulated monthly mean Northern Hemisphere (NH) solid sea-ice volume from MITgcm experiments REF01 (dotted gray line), REF10 (solid gray), REF50 (dash-dotted gray) and GRS (bold black), as well as grease-ice volume from GRS (dashed black; y-axis on the right). (b) Annual cycle of simulated NH mean grease-ice thickness \( h_g \) of Eqn (5) from 31 day running-mean filtered daily instantaneous output; the dashed line depicts \( \pm 2 \) standard deviations indicating both spatial and interannual variability. (c) Map of summer mean (31 day mean: 16 August to 15 September) grease-ice layer thickness \( h_g \) from daily instantaneous model output; note the logarithmic color scale. (d) Same as (c) but for winter (13 February to 15 March). All results are averaged over 10 model years after 10 years of model spin-up, which, with respect to the applied forcing, resembles 1990–99.
layer surface temperature at the sea-water freezing point, and a delayed solidification of the frazil ice to solid pancake ice. The latter also delays the salt flux to the ocean as brine rejection only occurs during solidification.

The transfer rate of ice from grease to solid ice is prescribed so that $\sim 50\%$ of the grease-ice volume is converted within 24 hours. This qualitatively resembles growth of pancake ice, but has little observational support from the field. Sensitivity tests with transfer rates of 25–75% within 24 hours showed that this conversion rate has generally limited impact, but further observational constraint would be desirable.

Using a 1-D column sea-ice and ocean model, forced by Arctic atmospheric monthly means, indicates that the new grease-ice parameterization increases winter ocean heat loss by 0.5–7 W m$^{-2}$. The ocean to atmosphere heat flux during freezing conditions increases because of larger areas of open water. During mean winter Arctic conditions, changes are moderate, $\sim 1$ W m$^{-2}$. This is caused by relatively small areas of open ocean. Under stronger ice divergence, as in a polynya, the new formulation further increases the heat flux.

A simulation with a regional Arctic 3-D coupled sea-ice ocean model yields a mean grease-ice layer thickness of 0.10–0.30 m for the interior Arctic Ocean, and 0.40–1.10 m for the MIZ. By comparing this simulation to reference experiments with the same model but using a constant lead-closing parameter instead, we demonstrate that the new grease-ice parameterization stimulates frazil ice formation in open water, particularly in the MIZ, compared to a simulation in which the lead area is (almost) instantly covered by solid ice. The heat flux over open water is enhanced by up to 3–10 W m$^{-2}$. Further, the grease-ice parameterization is capable of pronouncing regional differences, such as thinner grease ice and smaller heat loss over open water in the Pacific MIZ compared to the Atlantic MIZ in winter.

Although our numerical experiments are focused on the Arctic, we believe that the new grease-ice parameterization has even greater impact in Southern Ocean simulations, because Antarctic sea-ice motion is generally more divergent. Pancake ice and granular ice have often been observed in Antarctic waters, and the suggested parameterization should be of importance for Antarctic sea ice.

With the continuous reduction of the Arctic summer sea-ice cover (Comiso, 2012; Jeffries and others, 2013) and associated increased seasonal ice growth, granular ice will likely become more common in the Arctic. In this respect, Arctic and Antarctic sea-ice formation may become more alike in the future. This increases the importance of incorporating grease-ice processes in global climate models, which aim to predict the sea-ice cover in both hemispheres. The effect of the new parameterization increases with larger open-water areas. Therefore, the new parameterization has a greater effect in the MIZ and is expected to be of importance for simulations of the 21st century.
exchange. Implementing a realistic delay of grease-ice solidification in large-scale sea-ice models is thus important for coupled simulations of ice-covered seas, in particular with increasing model grid resolution.

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